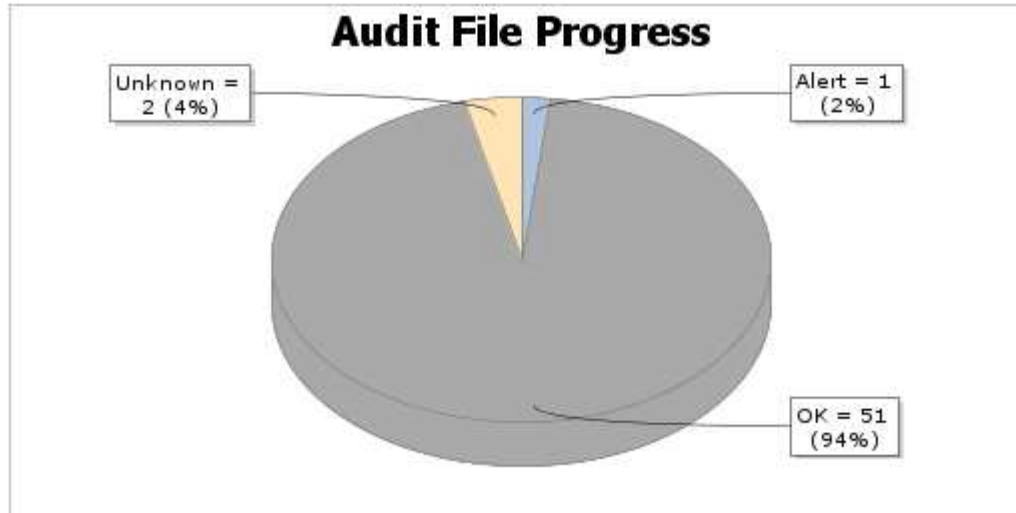

















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Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.TechCP09 ISO20000	✔	Information Technology	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		⚠







Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.TechCP06 Printing		System based review	It is with some concern that Audit has to report no assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are not in place or are failing.		
1314.AE04 Disabled Facilities Grants		Risk Workshop	Audit are pleased to be able to report reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.		
1314.AE14 Property Contracts		Main financial system – full			
1314.HH02 Housing Repairs		Main financial system – full			
1314.FIN07 Bank Rec & Cash		Main financial system – interim			





Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.STTC02 Legal Compliance		Risk based review	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		
1314.FIN10 Procurement		System based review			
1314.AE05 Licences		Risk Workshop			
1314.TechCP05 BACS		Information Technology			
1314.STTC04 Gifts & Hospitality		System based review	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		
1314.TCP01 Payroll		Main financial system – full			





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Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.FIN02.3 Treasury Management Qtr 3		Main financial system – interim	Audit are pleased to be able to report substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating satisfactorily.		
1314.FIN01 Main Accounting & Budgetary Control		Main financial system – interim	Audit are pleased to be able to report substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating satisfactorily.		
1314.STTC03 Scheme of Delegation			It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		










Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.FIN11 Income Management		System based review	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		
1314.HH03 Sheltered Housing		Risk Workshop	A focus workshop type session has been carried out for Sheltered Housing to identify potential risks and the measures in place/ to be put in place to mitigate against risks within the area.		
1314.TechCP01 Orchard Application review		System based review			
1314.STTC05 Committee Decisions & Reporting		System based review	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		







Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.AE02 Corporate Property Management		Risk based review	Audit are pleased to be able to report reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.		
1314.AE01 Cemeteries		Risk Workshop	A focus workshop type session has been carried out for the Cemeteries section to identify potential risks and the measures in place/ to be put in place to mitigate against risks within the area.		
1314.AE03 Commercial/Industrial Properties		System based review	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		
















Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.TechCPIR09 ISO 20000 Implementation Review		Implementation Review	The initial audit opinion was that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		
1314.TCP09 Petty Cash		Additional Consultancy	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		








Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.FIN04 Debtors		Main financial system – interim	Audit are pleased to be able to report reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.		
14.STTC06 RIPA		System based review	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		


Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.STTC01 Standards and Declarations		System based review	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		
1314.FIN08 Housing & Council Tax Benefits		Main financial system – interim	Audit are pleased to be able to report substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating satisfactorily.		
1314.FIN02.2 Treasury Management Qtr 2		Main financial system – interim	Audit are pleased to be able to report substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating satisfactorily.		

Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.FIN05 Council Tax		Main financial system – full	Audit are pleased to be able to report reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.		
1314.FIN03 Creditors		Main financial system – full	Audit are pleased to be able to report reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.		
1314.TechCP02 I Trent Application Review /PIR		Information Technology			
1314.TechCP04 CRM PIR		Information Technology			
1314.TCP02 Organisational Development		Risk based review			

Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.TechCPIR06 Printing Implementation Review		Implementation Review	The initial audit opinion was that no assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are not in place or are failing.		
1314.FIN09 Capital Strategy & Programme Management		Main financial system – interim	Audit are pleased to be able to report reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.		
1314.AE07 Street Wardens		Risk based review	Audit are pleased to be able to report reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.		

Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.CPP01 Section 106 Agreements		System based review			
1314.TechCP08 Software Asset Management		Information Technology			
1314.FIN06 NNDR		Main financial system – full			
1314.TechCP16 ISO27001		Information Technology			
1314.CPP02 Golf Course		System based review			
1314.COR01 Corporate Policy Management		System based review			
1314.FIN02.4 Treasury Management Qtr 4 1213		Main financial system – interim	Audit are pleased to be able to report substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating satisfactorily.		 
1314.HH07 Regeneration & Affordable Housing		Additional Risk Based Review			
1314.HH07 Housing Advice		Additional Risk Based Review			
1314.HH08 Housing Strategy		Additional Risk Based Review			
1314.HH09 Energy Efficiency		Additional Risk Based Review			

Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.HH10 Housing Enforcement		Additional Risk Based Review			
1314.FIN13 SCC Pension Contributions		Transactional			
1314.HH01 Housing Rents		Main financial system – full	Audit are pleased to be able to report reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.		
1314.FIN02.1 Treasury Management Qtr 1		Main financial system – interim	Audit are pleased to be able to report substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating satisfactorily.		
1314.COR02 Corporate Change Programme		System based review			







Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
1314.TechCP03 Exchange/Email Application Review		Information Technology			









IA Performance quarter 4 implementation reviews

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Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
Academy Application review 1314.TechCP11IR	✔	Implementation Review	The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	The revised audit opinion is that substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating satisfactorily.	✔ ⇒ ✔✔








Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
Asbestos & Legionella Further Implementation Review 1314.AE13FIR		Additional Implementation Review	The initial audit opinion was that no assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are not in place or are failing.	<p>The revised audit opinion, following the initial implementation review was that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.</p> <p>Following on from the further implementation review the audit opinion has not changed due to the number and priority of the partially implemented and outstanding recommendations.</p>	 ⇒ 
Asbestos & Legionella1314.AE09IR		Implementation Review	The initial audit opinion was that no assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are not in place or are failing.	The revised audit opinion is that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	 ⇒ 

Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
Building Security 1314.TCP05IR		Risk based review	Audit are pleased to be able to report reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.		
Building Security Implementation Review 1314.TCP05IR		Implementation Review	The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	The revised audit opinion is that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	 ⇒ 
Car Park Income 1314.AE08IR		Implementation Review	The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control	.The overall assurance originally reported remains. Full details of the status of all recommendations are detailed on Covalent.	 ⇒ 

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Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
			weaknesses but most key controls are in place and operating effectively.		
Castle 1314.CPP.04IR		Implementation Review	The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	The revised audit opinion is that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	 ⇒ 
TV 1314.AE06IR		Risk based review	Audit are pleased to be able to report reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.		
Committee Decisions & Reporting 1314.STTC05IR		Implementation Review	The initial audit opinion was that limited assurance can be given that the system, process or activity will	The revised audit opinion is that reasonable assurance can be given that the system, process or activity	 ⇒ 








Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
			achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	
Community Development 1314.CPP06IR		Implementation Review	The initial opinion was that substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating satisfactorily.	The overall assurance originally reported, remains. Full details of the status of all recommendations are detailed on Covalent.	
Community Leisure 1314.CPP05IR		Risk based review	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		
Community Safety Hub 1314.CPP03IR		Implementation Review	The initial audit opinion was that reasonable assurance can be given that the system, process or activity	The overall assurance originally reported remains. Full details of the status of	

Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
			should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	all recommendations are detailed on Covalent.	
Corporate Business Continuity 1314.COR04IR		Implementation Review			
Data Quality & Records Management 14.TechCP07IR		Additional Implementation Review	The initial audit opinion was that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	The revised audit opinion is that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	 ⇒ 
Health & Safety 1314.TCP07IR		Implementation Review	The initial audit opinion was that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	Due to the nature of the outstanding recommendations, the previous audit opinion remains – that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly,	 ⇒ 












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Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
				or controls in place are inadequate.	
Homelessness 1314.HH.06IR		Risk based review			Audit cancelled
Housing Allocations 1314.HH04IR		Implementation Review	The initial audit opinion was that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	The revised audit opinion is that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively	⇒
Home Management Implementation Review 1314.FIN11IR		Implementation Review	The initial audit opinion was that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	The revised audit opinion is that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	⇒
Insurance 1314.FIN12IR		Implementation Review			
Interfaces 1314.TechCP14IR		Implementation Review			
IT Physical & Environmental Controls 1314.TechCP10IR		Implementation Review	The initial audit opinion was that only limited assurance	The revised audit opinion is that reasonable assurance	⇒







Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
			can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	
Land Charges 1314.STTC071R		Implementation Review	The original audit opinion was that limited assurance was given.	The revised audit opinion is that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	 ⇒ 
Localism 1314.STTC091R		Audit Cancelled			
Members Allowances 1314.STTC101R		Implementation Review	The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control	The revised audit opinion is that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control	 ⇒ 











Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
			weaknesses but most key controls are in place and operating effectively.	weaknesses but most key controls are in place and operating effectively.	
Mobile devices 1314.TechCP15IR		Implementation Review	The initial audit opinion was that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	The revised audit opinion is that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	
Mobile Devices Further Implementation Review 1314.TechCP15FIR		Additional Implementation Review	The initial audit opinion and the opinion at the first implementation review was that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	Due to the minimal amount of progress with the implementation of the recommendations there has still been no change in the assurance level given.	
Mobile Telephony 1314.TechCP13IR		Implementation Review			
Ombudsmen Process 1314.STTC11IR		Audit Cancelled			

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Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
Operational Services Financial Controls1314.AE.12FIR		Implementation Review	The initial audit opinion was that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate	Due to the nature of the recommendations not implemented or partially implemented the revised audit opinion is that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	 ⇒ 
Outdoor Events 4.CPP07IR		Implementation Review	The initial audit opinion was that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	The revised audit opinion is that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	 ⇒ 
Performance Management 1314.TCP07IR		Audit Cancelled			
PR & Communications 1314.TCP03IR		Implementation Review	The initial audit opinion was that reasonable assurance can be given that the	The overall assurance originally reported, remains.	 ⇒ 





Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
			system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	Full details of the status of all recommendations are detailed on Covalent.	
Private Sector Empty Homes 1314.HH05IR		Implementation Review	The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	The overall assurance can now be reported as substantial. Full details of the status of all recommendations are detailed on Covalent.	 ⇒  
Project/Programme Management 1314.COR03IR		Audit Cancelled			
Public Protection – Food Safety 1314.AE.10IR		Implementation Review			
RIPA 1314.STTC06IR		Implementation Review	The initial audit opinion was that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls	Due to the lack of implementation of the recommendations and the priority assigned to them the revised audit opinion is that limited assurance can	 ⇒ 


Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
			are in place but operating poorly, or controls in place are inadequate.	be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	
Safeguarding Children & Vulnerable Adults 1314.STTC08IR		Implementation Review	The initial audit opinion was that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	Due to the number of outstanding high priority recommendations the revised audit opinion is that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	 ⇒ 
Safeguarding Children & Vulnerable Adults Further Implementation Review 1314.STTC08FIR		Additional Implementation Review	The initial audit opinion was that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	Due to the priority of the outstanding recommendations the revised audit opinion is that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating	 ⇒ 

Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
				poorly, or controls in place are inadequate.	
Standards & Declarations Further Implementation Review 1314.STTC01FIR		Additional Implementation Review	The initial audit opinion and the opinion at the first implementation review was that limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	The revised audit opinion is that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	 ⇒ 
Standards and Declarations 14.STTC01IR		Implementation Review	The initial audit opinion was that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	Due to the nature of the outstanding recommendations, one being a legislative requirement, there has been no change to the audit opinion – only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	 ⇒ 
Street Scene1314.AE.11IR		Implementation Review	The initial audit opinion was	The revised audit opinion is	 ⇒  

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Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
			that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	that substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating satisfactorily.	
Tourism & Town Centre 4.CPP04IR		Risk based review	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.		
Tourist Information Centre 1314.TCP04IR		Implementation Review	The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and	Due to the implementation of the recommendations, the audit opinion is now that substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating	   

Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
Travel & Subsistence 1314.TCP06IR		Implementation Review	operating effectively.	satisfactorily.	
Travel & Subsistence Further Implementation Review 1314.TCP06FIR		Additional Implementation Review	The initial audit opinion was that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	The revised audit opinion is that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	 ⇒ 

Audit File	Audit File Progress Icon	Audit Assurance Type	Audit File Overall Opinion	Revised Audit Opinion	Audit Assurance Level
Volunteers Policy 1314.CPP08IR		Additional Implementation Review	<p>The initial audit opinion was that only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.</p> <p>The opinion from the first implementation review did not change.</p>	<p>inadequate.</p> <p>The revised audit opinion is that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.</p>	